PTO/SB/21 (09-04)

Approved for use through 07.07.1/20.06. OMB 695-10.031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control

|                                                                                                                           |                                                                                                                                                                                         |                                              |                                                                                                                                                                                                                     |          |                                                                                                                                                                                                                                                                                                      | number.                                                     |  |  |  |  |  |  |  |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|--|--|--|--|--|--|--|
| (10)                                                                                                                      |                                                                                                                                                                                         |                                              | Application Number                                                                                                                                                                                                  | 10/6     | 556,476                                                                                                                                                                                                                                                                                              |                                                             |  |  |  |  |  |  |  |
| <b>∤०\</b> ″ %}}                                                                                                          | ANSMITTAL                                                                                                                                                                               | Filing Date                                  |                                                                                                                                                                                                                     | 9/5/2003 |                                                                                                                                                                                                                                                                                                      |                                                             |  |  |  |  |  |  |  |
| MAR 1 1 2005 FORM                                                                                                         |                                                                                                                                                                                         |                                              | First Named Inventor                                                                                                                                                                                                | Gar      | Garibay et al.                                                                                                                                                                                                                                                                                       |                                                             |  |  |  |  |  |  |  |
|                                                                                                                           |                                                                                                                                                                                         |                                              | Art Unit                                                                                                                                                                                                            | 362      | 3621                                                                                                                                                                                                                                                                                                 |                                                             |  |  |  |  |  |  |  |
| (to be used to all correspondence after initial filing)                                                                   |                                                                                                                                                                                         |                                              | Examiner Name                                                                                                                                                                                                       |          |                                                                                                                                                                                                                                                                                                      |                                                             |  |  |  |  |  |  |  |
| GTBRADAMBET C                                                                                                             | f Pages in This Submission                                                                                                                                                              | Attorney Docket Number                       | 4US5                                                                                                                                                                                                                |          |                                                                                                                                                                                                                                                                                                      |                                                             |  |  |  |  |  |  |  |
| ENCLOSURES (Check all that apply)                                                                                         |                                                                                                                                                                                         |                                              |                                                                                                                                                                                                                     |          |                                                                                                                                                                                                                                                                                                      |                                                             |  |  |  |  |  |  |  |
| Preliminary A' A' Extension ( Express Ab: Information ( ) Cited C Reply to Mincomplete                                    | mittal Form Fee Attached  Amendment ther Final fidavits/declaration(s) of Time Request andonment Request Disclosure Statement tocuments saing Parts/ Application apply to Missing Parts | Lico                                         | wing(s)  nssing-related Papers  tition  tition to Convert to a  visional Application  wer of Attorney, Revocation inge of Correspondence  minal Disclaimer  quest for Refund  Number of CD(s)  Landscape Table on 6 | CD       | After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Repty Brief)  Proprietary Information  Status Letter  Other Enciosure(s) (please Identify below):  Post Card  Copy of International Search Report |                                                             |  |  |  |  |  |  |  |
| SIGNATUF Firm Name Signature Printed Name Date CERTIFICA I hereby certify in Service with sulf Alexandria, VA 2 Signature | Joseph P. O'Mal<br>March 8, 2005<br>TE OF TRANSMIS<br>that this correspondence is einer losses inter classes<br>2313-1450 on the date sho                                               | ley SSION/N being facsi mail in an wm below: | DRNEY, OR AGEN stomer Number 239  MAILING mile transmitted to the USP1 envelope addressed to Com                                                                                                                    | 910      |                                                                                                                                                                                                                                                                                                      | 36,226  with the United States Postal tents, P.O. Box 1450, |  |  |  |  |  |  |  |
| Typed or printed name                                                                                                     | Tori Muir                                                                                                                                                                               |                                              |                                                                                                                                                                                                                     |          | Date                                                                                                                                                                                                                                                                                                 | March 8, 2005                                               |  |  |  |  |  |  |  |

This collection of information is required by 3T CER 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 58 U.S. C. 122 and 3T CER 1.1 and 1.4. This collection is estimated to 2 hours to complete, including pathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for tradicing this business, navious be sent to the Chief FEES OR COMPLETED FORMS TO 114IS ADDRESS. SEND 170. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you read assistance in completing the form, act al 400.PDT-0.1998 and select option 2.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application

MAR 1 1 2005

Appln. No.: Garibay et al. 10/656,476 Confirm. No.: 8634

Confirm. No.: Filed:

Filed: 9/5/2003 Title: SELF-SE

Title: SELF-SERVICE CUSTOMER LICENSE MANAGEMENT APPLICATION USING

SOFTWARE LICENSE BANK

PATENT APPLICATION

Art Unit: 3621

Examiner:

(Signature)

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an

envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 8, 2005.

Alexandria, VA 22313-1450, on March 8, 2005.

Teri Muir Signature Date: March 8, 2005.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 81.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

## Enclosed with this statement are the following:

- ✓ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- The present application is being/was filed after June 30, 2003. In accordance with the pre-official gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at pac/dapp/opla/preognotice/idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. However, copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98(a)(2), as still required, except for those items designated by an asterisk (\*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. §120 is claimed, with an Information Disclosure Statement submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(da-t).
- ✓ The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed, except for those items designated by an asterisk (\*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. §120 is claimed, with an Information Disclosure Statement submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed

- If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. § 198(a)(2)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).
- ✓ PTA Statement under 37 C.F.R. §1.704(d). Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Copy of International Search Report attached for review.

## This statement should be considered because:

- 27 C.F.R. §1.97(b). This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:
  - It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d); -- OR --
  - (2) It is being filed within 3 months of entry of a national stage; -- OR --
  - (3) It is being filed before the mailing date of the first Office Action on the merits, -- OR --
  - (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.
- \_\_\_ 37 C.F.R. §1.97(c). Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:
  - It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.
    - -- AND (check at least one of the following) --
  - \_\_ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).
    -- OR --
    - (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).
- 37 C.F.R. §1.97(d). Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:
  - (1) It is being filed on or before payment of the Issue Fee;
  - (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);
    -- AND --
  - (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

Fee Authorization. The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER MEYER LLP

Date: March 8, 2005 By:

Joseph P. O Malley
Reg. No. 36,226

FLIESLER MEYER LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 Telephone (415) 362-3800

| a .                                                                                                                                                                                                                                           |  |                                       |            |                                       |                     |           |                                 |          |             |  |  |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---------------------------------------|------------|---------------------------------------|---------------------|-----------|---------------------------------|----------|-------------|--|--|--|
| Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Substitute) PATENT AND TRADEMARK OFFICE Information Disclosure Statement BY APPLICANT (Its several sheets if necessary)  MAR 1 1 2005                                                              |  |                                       |            | Attorney Docket Number BEAS-01454US5  |                     |           | Serial/Patent Number 10/656,476 |          |             |  |  |  |
|                                                                                                                                                                                                                                               |  |                                       |            | Applicant/Patent Owner Garibay et al. |                     |           |                                 |          |             |  |  |  |
|                                                                                                                                                                                                                                               |  |                                       |            | Filing/Issue Date<br>9/5/2003         | Group Art Unit 3621 |           |                                 |          |             |  |  |  |
| U.S. PATENTS                                                                                                                                                                                                                                  |  |                                       |            |                                       |                     |           |                                 |          |             |  |  |  |
| Examiner<br>Initial                                                                                                                                                                                                                           |  | Patent Number                         | Issue Date |                                       | First Named Inve    | ntor Cla  | iss                             | Subclass | Filing Date |  |  |  |
| /C.A./                                                                                                                                                                                                                                        |  | 5,204,897                             | 04/20/93   |                                       | Wyman               | 38        | 0                               | 4        | 07/14/92    |  |  |  |
| /C.A./                                                                                                                                                                                                                                        |  | 6,502,124B1                           | 12/31/02   |                                       | Shimakawa           | . 70      | 9                               | 203      | 11/06/97    |  |  |  |
| U.S. PATENT PUBLICATIONS                                                                                                                                                                                                                      |  |                                       |            |                                       |                     |           |                                 |          |             |  |  |  |
| Examiner<br>Initial                                                                                                                                                                                                                           |  | Patent Application Publication Number |            |                                       | Publication Date    | Applicant |                                 |          |             |  |  |  |
|                                                                                                                                                                                                                                               |  |                                       |            |                                       |                     |           |                                 |          |             |  |  |  |
| OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)                                                                                                                                |  |                                       |            |                                       |                     |           |                                 |          |             |  |  |  |
|                                                                                                                                                                                                                                               |  |                                       |            |                                       |                     |           |                                 |          |             |  |  |  |
|                                                                                                                                                                                                                                               |  |                                       |            |                                       |                     |           |                                 |          |             |  |  |  |
| Examiner /Charles Agwumezie/                                                                                                                                                                                                                  |  |                                       |            | Date Considered 02/06/2008            |                     |           |                                 |          |             |  |  |  |
| *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.      |  |                                       |            |                                       |                     |           |                                 |          |             |  |  |  |
| *1 = Copy not submitted because it was submitted in prior application SN / , filed , 20 , relied on under 35 USC §120. *2 = Copy not submitted because it was submitted in prior application SN / , filed , 20 , relied on under 35 USC §120. |  |                                       |            |                                       |                     |           |                                 |          |             |  |  |  |